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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/772,347
		Filing Date	January 29, 2001
		First Named Inventor	Jennifer Pearson, et al.
		Group Art Unit	Unassigned
Examiner Name	Unassigned	RECEIVED APR 17 2002 Technology Center 2100	
Attorney Docket Number	003801.P038		
Sheet	1	of	

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
BA	3,581,072	Nymayer	5/25/1971	3/28/1968
BA	3,573,747	Adams, et al.	4/6/1971	2/24/1969
BA	4,412,287	Braddock, III	10/25/1983	9/15/1982
BA	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
BA	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
BA	4,789,928	Fujisaki	12/6/1988	1/30/1987
BA	4,799,156	Shavit, et al.	1/17/1989	10/1/1986
BA	4,823,265	Nelson	4/18/1989	5/11/1987
BA	4,903,201	Wagner	2/20/1990	11/3/1983
BA	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
BA	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
BA	5,101,353	Lupien, et al.	3/31/1992	5/31/1989

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		Office or Country	Number	Date			
BA		PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
BA		PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
BA		PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS			
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
BA		MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
BA		WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
BA		"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
BA		NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature	<i>Brandon N/A</i>	Date Considered	10-13-04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
BA	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
BA	5,168,446	Wiseman	12/1/1992	5/23/1989
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	Office or Country	Number	Date			
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BA	France	2658635-A1	2.6.1991			
BA	Canada	2,253,543	3/19/1997			Yes

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Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
BA	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
BA	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
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BA	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

Examiner Signature	<i>Brenda R. Hahn</i>	Date Considered	10-13-01
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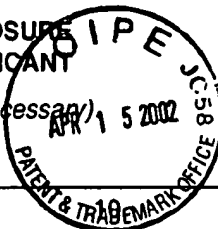
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



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Group Art Unit	Unassigned
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Attorney Docket Number	003801.P038

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Sheet 3 of

U.S. PATENT DOCUMENTS

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OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
BA	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
BA	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
BA	LEE, G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
BA	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

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Signature

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		Filing Date	January 29, 2001
		First Named Inventor	Jennifer Pearson, et al.
		Group Art Unit	Unassigned
Examiner Name	Unassigned	Technology Center 2100	
Attorney Docket Number	003801.P038		

Sheet 4 of 10

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number			
BA	5,835,896		Fisher, et al.	11/10/1998
BA	5,845,266		Lupien, et al.	12/1/1998
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	Office or Country	Number	Date		


OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
BA	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
BA	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
BA	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
BA	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

Examiner Signature	<i>Branda Hoff</i>	Date Considered	10-13-01
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				First Named Inventor	Jennifer Pearson, et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	003801.P038
Sheet	5	of			

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FOREIGN PATENT DOCUMENTS							
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OTHER DOCUMENTS		
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BA	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electric Markets</u> , October 1993, No. 9/10	
BA	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
BA	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-4	
BA	RECK, Martin., "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

Brenda Wolf

60-13-04

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				Filing Date	January 29, 2001
				First Named Inventor	Jennifer Pearson, et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	6	of	10	Attorney Docket Number 003801.P038	

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FOREIGN PATENT DOCUMENTS							
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BH	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	
BH	LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5	
BJ	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4	
BJ	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	

Examiner Signature	<i>Branda G. [Signature]</i>	Date Considered	10-13-04
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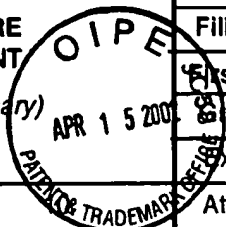
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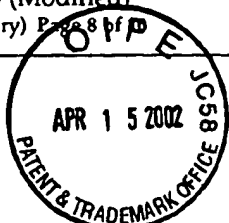
OTHER DOCUMENTS		
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BH	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1	

Examiner Signature	<i>Brad 94K</i>	Date Considered	10-13-04
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	First Named Inventor:	Jennifer Pearson, et al.
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		Number	Kind Code ² (If known)			
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BA		5,557,728		Garrett et al.	09-17-1996	
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BA		5,657,389		Houvener	08-12-1997	
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		Office ³	Number ⁴	Kind Code ⁵ (If known)				
BA		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
BA		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

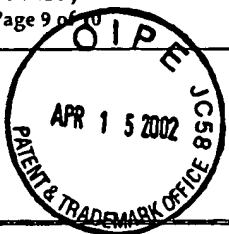
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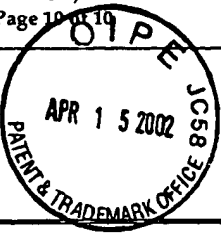
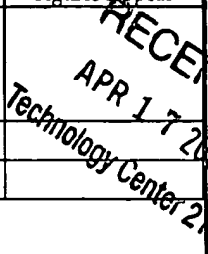
Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 9 of 10				Attorney Docket No.: 003801.P038		Application Number: 09/772,347	
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BA		6,085,176		Woolston	07-04-2000		
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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					Translation ²
Examiner Signature	<i>Brandon Hyl</i>				Date Considered	10-13-04	

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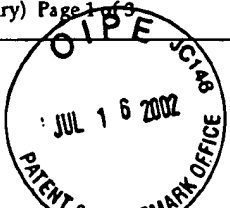
Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 10 of 10			Attorney Docket No.: 003801.P038		Application Number: 09/772,347	
			First Named Inventor: Jenrufer Pearson, et al.			
			Filing Date: January 29, 2001			
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
BA		6,104,815		Alcorn et al.	08-15-2000	
BA		6,202,051		Woolston	07-13-2001	
BD		6,266,651		Woolston	07-24-2001	
OTHER ART - NO PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				Translation ²
BA		BUSINESS EDITORS, "Mediappraise: Mediappraise Receives National Award for Web-Based Technology That Enables Companies to Solve Thorny HR Problems," 12/14/98, 2 pgs., www.businesswire.com .				
BA		BUSINESS EDITORS/COMPUTER WRITERS, "ONSALE: ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet Retail Service Debuts With Week-Long Charity Auction For The Computer Museum in Boston," 5/24/95, 3 pgs., http://www.dialogweb.com/cgi/dwclient?dwcommand=DWEBPRINT%20810-489267				
BD		MEADE, J., "Visual 360: A Performance Appraisal System That's 'Fun' (Evaluation)," HRMagazine, 44, 7, 2 pgs., July 1999, Society for Human Resource Management.				
BA		PREIST, C., et al., "Adaptive Agents in a Persistent Shout Double Auction," Proceedings, 1 st International Conf. on Information and Computation Economies, October 25-28, 1998, pgs. 11-18, Charleston, N.C.				
BD		RESNICK, P., et al., "Reputation Systems," December 2000, Assoc. for Computing Machinery, Communications of the ACM, vol. 43, n. 12, 4 pgs.				
Examiner Signature		Branda Heff			Date Considered	10-13-04

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Substitute for Form 2449A/PTO (Modified) (use as many sheets as necessary) Page 1 of 3		Attorney Docket No.: 003801.P038	Application Number: 09/772,347
		First Named Inventor:	Jennifer Pearson, et al.
		Filing Date:	January 29, 2001

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
BA		5,790,790		Jeffrey C. Smith, et al.	08-04-1998	
BA		6,047,264		Alan S. Fisher, et al.	04-04-2000	
BA		6,061,448		Jeffrey C. Smith, et al.	05-09-2000	
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BA		6,178,408	B1	Brenda E. Copple, et al.	01-23-2001	
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Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

Examiner Signature	<i>Branda Hall</i>	Date Considered	10-13-04
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